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Knowledge-Based Expert Systems: A Brief Bibliography

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26 June 1981

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1. Introduction

A number of Artificial Intelligence (AI) systems have appeared in recent years whose approaches appear very fruitful for a wide variety of real-world tasks that are performed by knowledgeable (but not necessarily understanding) experts. In the interest of acquainting a wider audience to a representative sampling of these systems, and especially to the approaches they embody, the following selective reading list is proposed. These readings are not overly technical, and usually make their main points by way of examples of natural language dialog between a user and the expert AI system. Papers usually contain further references to related work and background material. The intended audience includes engineers (especially designers), social scientists, computer specialists without AI background, and other professionals.

In the following, I have grouped papers roughly into categories, some of which contain specific systems while others are broader overviews or even general introductions to the wider field of AI. In the interest of being representative, a number of systems of approximately equal significance to those given here have been omitted. The papers here do in fact refer to these others.

1.1. Expert Systems in General

- [Feigenbaum 77]
- [Waterman&Hayes-Roth 78]
- [Michie 79]
- [Nii 80]
- [Newell 81]
- [Winston 77]
- [Simon 69]
- [Nilsson 80]
- [Boden 77]
- [McCorduck 79]
- [Erman&Lesser 78]

? Forthcoming: AI Handbook, by Barr and Feigenbaum

? Forthcoming: book on expert systems by Hayes-Roth et al (Aug. '80 workshop)

1.2. Electronics and Computers

- [Brown&Burton 75]
- [Sussman 77]
- [Sussman&Steele 80]
- [de Kleer 79]
- [Borning 79]

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[McDermott.J 80]
[Grinberg 80]

[Director&Parker&Siewiorek&Thomas 81]

1.3. Engineering Design in General

[Rieger&Grinberg 77]
[Freeman&Newell 71]
[Eastman 81]
[Bennett&Engelmore 79]
[Powers 72]
[Fenves&Norabhoompipat 78]

1.4. Other expert systems

[Davis&Buchanan&Shortliffe 77]
[Pople 81]
[Duda&Gaschnig&Hart 79]
[Nii&Aiello 79]
[Buchanan&Feigenbaum 78]
[Lindsay&Buchanan&Feigenbaum&Lederberg 80]
[Genesereth 79]
[Weiss&Kulikowski&Amarel&Safir 78]
[Waterman&Peterson 80]

1.5. Other Specific AI Techniques of Interest

[Clancey 79]
[Sacerdoti 75]
[Stefik 81]
[Lenat 75]
[Lesser&Erman 77]
[Davis 80]
[Hayes&Ball&Reddy 81]
[Teitelman&Masinter 81]

Acknowledgment. Allen Newell made many useful comments on preliminary versions of this paper.

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Bennett, J. S. and Engelmore, R. S.
 SACON: A knowledge-based consultant for structural analysis.
In Proc. Sixth International Joint Conference on Artificial Intelligence, pages 47-49.
 Tokyo, 1979.
 Advises users of a program, MARC, for analysis of physical structures

[Boden 77]

Boden, M.
Artificial Intelligence and Natural Man.
 Basic Books, New York, 1977.
 Chapters 1, 10, 12, 15 are pertinent to expert systems

[Borning 79]

Borning, A. H.
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 PhD thesis, Stanford University, 1979.

[Brown&Burton 75]

Brown, J. S. and Burton, R. R.
 Multiple representations of knowledge for tutorial reasoning.
In Bobrow, D. G. and Collins, A., editor, Representation and Understanding: Studies in Cognitive Science, pages 311-349. Academic, New York, 1975.
 SOPHIE electronics lab simulation

[Buchanan&Feigenbaum 78]

Buchanan, B. G. and Feigenbaum, E. A.
DENDRAL and Meta-DENDRAL: their applications dimensions.
Artificial Intelligence 11:5-24, 1978.
 Chemistry (mass spectroscopy) and scientific inference

[Clancey 79]

Clancey, W. J.
 Tutoring rules for guiding a case method dialogue.
International Journal of Man-Machine Studies 11:25-49, 1979.
 Turning expert systems into teaching / tutorial ones; also 6th IJCAI (1979), pp. 155-161

[Davis 80]

Davis, R.
 Meta-rules: reasoning about control.
Artificial Intelligence 15:179-222, 1980.
 There is a companion paper in the same issue, pp. 223-239

[Davis&Buchanan&Shortliffe 77]

Davis, R., Buchanan, B. and Shortliffe, E.
 Production rules as a representation for a knowledge-based consultation program.
Artificial Intelligence 8:15-45, 1977.
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 (EMYCIN)

[de Kleer 79]

de Kleer, J.
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 See also [Waterman&Hayes-Roth 78], pp. 203-222

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 engineering.
 In Proc. Fifth International Joint Conference on Artificial Intelligence, pages 1014-
 1029. Massachusetts Institute of Technology, 1977.
 Overview of a number of Stanford systems and their methods

[Fenves&Norabhoompipat 78]

Fenves, S. J. and Norabhoompipat, T.
 Potentials for artificial intelligence applications in structural engineering design
 and detailing.
 In Latombe, J.-C., editor, *Artificial Intelligence and Pattern Recognition in
 Computer Aided Design*, pages 105-119. IFIP Working Conference, Grenoble,
 France, March, 1978.
 A view from outside AI

[Freeman&Newell 71]

Freeman, P. and Newell, A.
 A model for functional reasoning in design.
 In Proc. Second International Joint Conference on Artificial Intelligence, pages
 621-640. London, 1971.
 Somewhat general, problem-solving approach

[Genesereth 79]

Genesereth, M. R.
 The role of plans in automated consultation.
 In Proc. Sixth International Joint Conference on Artificial Intelligence, pages 311-
 319. Tokyo, 1979.
 User consultant for MACSYMA

[Grinberg 80]

Grinberg, M. R.
 A knowledge based design system for digital electronics.
 In Proceedings of the First Annual National Conference on Artificial
 Intelligence, pages 283-285. AAAI, 1980.

Semi-Automatic Digital Designer (SADD)

[Hayes&Ball&Reddy 81]

Hayes, Phil, Ball, E. and Reddy, R.
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Computer 14(3):19-30, March, 1981.

[Lenat 75]

Lenat, D. B.
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